



Vaibhav Global Limited

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Format of Holding of Specified securities

1. Name of Listed Entity: **VAIBHAV GLOBAL LIMITED**
2. Scrip Code/Name of Scrip/Class of Security: **532156, VAIBHAVGBL, EQUITY SHARES**
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg.31(1)(b)/Reg.31(1)(c)
 - a. if under 31(1)(b) then indicate the report for quarter ending **30th September, 2016**
 - b. if under 31(1)(c) then indicate date of allotment/extinguishment

4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:

	Particulars	YES*	NO*
a	Whether the Listed Entity has issued any partly paid up shares?		No
b	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
c	Whether the Listed Entity has any shares against which depository receipts are issued?		No
d	Whether the Listed Entity has any shares in locked-in?		No
e	Whether any shares held by promoters are pledge or otherwise encumbered?		No

*if the Listed Entity selects the option 'NO' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5 The tabular format for disclosure of holding of specified securities is as follows:

Table I - Summary Statement holding of specified securities

Category (I)	Category of Shareholder (II)	No of Shareholders (III)	No of fully paid up equity shares held (IV)	No of Partly paid-up equity shares held (V)	No of Shares Underlying Depository Receipts (VI)	Total No of Shares Held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no of shares (calculated as per SCRR, 1957) (VIII) = As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No of Shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a percentage of (A+B+C2)	Number of Locked in Shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								Class X	Class Y	Total			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
								No of Voting Rights			Total as a % of (A+B+C)							
								Class X	Class Y	Total								
(A)	Promoter & Promoter Group	10	22,251,983	-	-	22,251,983	68.40	22,251,983	-	22,251,983	68.40	-	68.40	-	-	-	-	22,251,983
(B)	Public	4,796	10,262,522	-	-	10,262,522	31.55	10,262,522	-	10,262,522	31.55	-	31.55	-	-	NA	NA	10,230,065
(C)	Non Promoter-Non Public	1	16,074	-	-	16,074	0.05	16,074	-	16,074	0.05	-	0.05	-	-	NA	NA	16,074
(C1)	Shares underlying DRs	-	-	-	-	-	NA	-	-	-	-	-	NA	-	-	NA	NA	-
(C2)	Shares held by Employes Trusts	1	16,074	-	-	16,074	0.05	16,074	-	16,074	0.05	-	0.05	-	-	NA	NA	16,074
	Total:	4,807	32,530,579	-	-	32,530,579	100	32,530,579	-	32,530,579	100.00	-	100.00	-	-	-	-	32,498,122

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

(I)	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held	Shareholding as a % (calculated as per SCRR, 1957) As a % of (A+B+C 2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									(VII) = (IV+V+VI)	(IX)				(X)	(XII)	(XIII)	(XIV)		
										Class X	Class Y								Total
(1)	Indian																		
(a)	Individuals/Hindu undivided Family		5	3,852,013	-	-	3,852,013	11.84	3,852,013	-	3,852,013	11.84	-	-	-	-	-	-	3,852,013
	NIRMAL KUMAR BARDIYA	ABAPB9576F	-	1,791,628	-	-	1,791,628	5.51	1,791,628	-	1,791,628	5.51	-	-	-	-	-	-	1,791,628
	RAHIMULLAH	AANPR7069K	-	1,236,500	-	-	1,236,500	3.80	1,236,500	-	1,236,500	3.80	-	-	-	-	-	-	1,236,500
	DEEPTI AGRAWAL	AQOPA8623M	-	636,854	-	-	636,854	1.96	636,854	-	636,854	1.96	-	-	-	-	-	-	636,854
	KUSUM BARDIYA	ABAPB9578M	-	165,205	-	-	165,205	0.51	165,205	-	165,205	0.51	-	-	-	-	-	-	165,205
	SHEELA AGARWAL	AAMPA0018L	-	21,826	-	-	21,826	0.07	21,826	-	21,826	0.07	-	-	-	-	-	-	21,826
(b)	Central Government/State Government(s)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c)	Financial Institutions/Banks		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d)	Any Other (Body Corporate)		1	8,444,124	-	-	8,444,124	25.96	8,444,124	-	8,444,124	25.96	-	-	-	-	-	-	8,444,124
	BRETT PLASTICS PVT LTD	AAACB6140K	-	8,444,124	-	-	8,444,124	25.96	8,444,124	-	8,444,124	25.96	-	-	-	-	-	-	8,444,124
	Sub-Total (A)(1)		6	12,296,137	-	-	12,296,137	37.80	12,296,137	-	12,296,137	37.80	-	-	-	-	-	-	12,296,137
(2)	Foreign																		
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)		3	37,206	-	-	37,206	0.11	37,206	-	37,206	0.11	-	-	-	-	-	-	37,206
	SUNIL AGRAWAL	AEBPA5934J	-	28,140	-	-	28,140	0.09	28,140	-	28,140	0.09	-	-	-	-	-	-	28,140
	SANJEEV AGRAWAL	AATPA4920C	-	8,320	-	-	8,320	0.03	8,320	-	8,320	0.03	-	-	-	-	-	-	8,320
	NEIL AGRAWAL	BIMPA2599F	-	746	-	-	746	0.00	746	-	746	0.00	-	-	-	-	-	-	746
(b)	Government		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c)	Institutions		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d)	Foreign Portfolio Investor		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(e)	Any Other (Body Corporate)		1	9,918,640	-	-	9,918,640	30.49	9,918,640	-	9,918,640	30.49	-	-	-	-	-	-	9,918,640
	SONY MIKES HOLDINGS LTD	AAOCS6711R	-	9,918,640	-	-	9,918,640	30.49	9,918,640	-	9,918,640	30.49	-	-	-	-	-	-	9,918,640
	Sub-Total (A)(2)		4	9,955,846	-	-	9,955,846	30.60	9,955,846	-	9,955,846	30.60	-	-	-	-	-	-	9,955,846
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		10	22,251,983	-	-	22,251,983	68.40	22,251,983	-	22,251,983	68.40	-	-	-	-	-	-	22,251,983

Detail of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term " Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011

Table III - Statement showing shareholding pattern of the Public shareholder

(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV+V+VI)	(VIII)	(IX)				(X)	(XI)	(XII)		(XIII)		(XIV)
								Number of Voting Rights held in each class of securities			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class X	Class Y	Total								
(1) Institutions																		
(a) Mutual Funds		-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
(b) Venture Capital Funds		-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
(c) Alternate Investment Funds		-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
(d) Foreign Venture Capital Investors		-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
(e) Foreign Portfolio Investors		9	1,613,335	-	-	1,613,335	4.96	1,613,335	-	1,613,335	4.96	-	4.96	-	-	NA	NA	1,613,335
MALABAR INDIA FUND LIMITED	AAFCM6589C		778,733	-	-	778,733	2.39	778,733	-	778,733	2.39	-	2.39	-	-	NA	NA	778,733
(f) Financial Institutions/Banks		2	918	-	-	918	0.00	918	-	918	0.00	-	0.00	-	-	NA	NA	918
(g) Insurance Companies		-	-	-	-	-	-	-	-	-	-	0.00	-	-	-	NA	NA	-
(h) Provident Funds/Pension Funds		-	-	-	-	-	-	-	-	-	-	0.00	-	-	-	NA	NA	-
(i) Any Other																		
FOREIGN INSTITUTIONAL INVESTOR		4	4,612,148	-	-	4,612,148	14.18	4,612,148	0	4,612,148	14.18	-	14.18	-	-			4,612,148
NALANDA INDIA FUND LIMITED	AACCN5849D	-	4,110,600	-	-	4,110,600	12.64	4,110,600	0	4,110,600	12.64	-	12.64	-	-	NA	NA	4,110,600
MATTHEWS INDIA FUND	AABTM6157F	-	500,000	-	-	500,000	1.54	500,000	0	500,000	1.54	-	1.54	-	-	NA	NA	500,000
Sub Total (B)(1)		15	6,226,401	-	-	6,226,401	19.14	6,226,401	-	6,226,401	19.14	-	19.14	-	-	NA	NA	6,226,401
(2) Central Government/State Government(s)/President of India		-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
Sub Total (B)(2)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
(3) Non-Institutions																		
(a) i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs		4,399	1,006,913	-	-	1,006,913	3.10	1,006,913	-	1,006,913	3.10	-	3.10	-	-	NA	NA	977,756
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 Lakhs		20	1,532,777	-	-	1,532,777	4.71	1,532,777	-	1,532,777	4.71	-	4.71	-	-	NA	NA	1,532,777
(b) NBFCs Registered with RBI		3	39,565	-	-	39,565	0.12	39,565	-	39,565.00	0.12	-	0.12	-	-	NA	NA	39,565
(c) Employee Trusts		-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
(d) Overseas Depositories (Holding DRs)(Balancing figure)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	NA	NA	-
(e) Any Other		359	1,456,866	-	-	1,456,866	4.48	1,456,866	-	1,456,866	4.48	-	4.48	-	-	NA	NA	1,453,566
BODIES CORPORATES		186	705,015	-	-	705,015	2.17	705,015	-	705,015	2.17	-	2.17	-	-	NA	NA	704,415
NON RESIDENT INDIANS		140	743,446	-	-	743,446	2.29	743,446	-	743,446	2.29	-	2.29	-	-	NA	NA	740,746
PANYAEK JAINKJMANEE	AHDPJ9459L	-	421,137	-	-	421,137	1.29	421,137	-	421,137	1.29	-	1.29	-	-	NA	NA	421,137
CLEARING MEMBERS		33	8,405	-	-	8,405	0.03	8,405	-	8,405	0.03	-	0.03	-	-	NA	NA	8,405
Sub Total (B)(3)		4,781	4,036,121	-	-	4,036,121	12.41	4,036,121	-	4,036,121	12.41	-	12.41	-	-	NA	NA	4,003,664
Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)		4,796	10,262,522	-	-	10,262,522	31.55	10,262,522	-	10,262,522	31.55	-	31.55	-	-	NA	NA	10,230,065

Details of shareholders acting as persons in Concert including their shareholding (No. and %)

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons:

Institutions/Non Institutions holding more than 1% of total number of shares.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder

(I)	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (VII) = (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C 2) (VIII)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of (A+B+C)	No.	As a % of total Shares held	No.		As a % of total Shares held
									Class X	Class Y	Total								
(1)	Custodian/DR Holder		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(2)	Employee Benefit Trust (under SEBI(Share based Employee Benefit) Regulations 2014)		1	16,074	-	-	16,074	0.05	16,074	-	16,074	0.05	-	0.05	-	-	NA	NA	16,074
	VAIBHAV GLOBAL EMPLOYEE STOCK OPTION WELFARE TRUST	AABTV8401F	-	16,074	-	-	16,074	0.05	16,074	-	16,074	0.05	-	0.05	-	-	NA	NA	16,074
	Total Non-Promoter-Non Public Shareholding (C) = (C)(1)+(C)(2)		1	16,074	-	-	16,074	0.05	16,074	-	16,074	0.05	-	0.05	-	-			16,074

Note:

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available