



## Vaibhav Global Limited

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### Format of Holding of Specified securities

1. Name of Listed Entity: **VAIBHAV GLOBAL LIMITED**
2. Scrip Code/Name of Scrip/Class of Security: **532156, VAIBHAVGBL, EQUITY SHARES**
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg.31(1)(b)/Reg.31(1)(c)  
a. if under 31(1)(b) then indicate the report for quarter ending **31st December, 2016**  
b. if under 31(1)(c) then indicate date of allotment/extinguishment
4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:

	Particulars	YES*	NO*
a	Whether the Listed Entity has issued any partly paid up shares?		No
b	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
c	Whether the Listed Entity has any shares against which depository receipts are issued?		No
d	Whether the Listed Entity has any shares in locked-in?		No
e	Whether any shares held by promoters are pledge or otherwise encumbered?		No

\*if the Listed Entity selects the option 'NO' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:

**Table I - Summary Statement holding of specified securities**

(I)	Category of Shareholder (II)	No of Shareholders (III)	No of fully paid up equity shares held (IV)	No of Partly paid-up equity shares held (V)	No of Shares Underlying Depository Receipts (VI)	Total No of Shares Held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no of shares (calculated as per SCRR, 1957) (VIII) = As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a percentage of (A+B+C2)	Number of Locked in Shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class X	Class Y	Total								
(A)	Promoter & Promoter Group	11	22,221,983	-	-	22,221,983	68.30	22,221,983	-	22,221,983	68.30	-	-	-	-	22,221,983		
(B)	Public	4,596	10,302,008	-	-	10,302,008	31.66	10,302,008	-	10,302,008	31.66	-	-	-	-	10,269,551		
(C)	Non Promoter-Non Public	1	12,094	-	-	12,094	0.04	12,094	-	12,094	0.04	-	-	-	-	12,074		
(C1)	Shares underlying DRs	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-		
(C2)	Shares held by Employes Trusts	1	12,094	-	-	12,094	0.04	12,094	-	12,094	0.04	-	-	-	-	12,074		
	<b>Total:</b>	<b>4,608</b>	<b>32,536,085</b>	<b>-</b>	<b>-</b>	<b>32,536,085</b>	<b>100.00</b>	<b>32,536,085</b>	<b>-</b>	<b>32,536,085</b>	<b>100.00</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>32,503,608</b>		

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held	Shareholding as a % (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									Class X	Class Y	Total			Total as a % of (A+B+C)	No.	As a % of total Shares held	No.		As a % of total Shares held
(1)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV+V+VI)	(VIII)	(IX)	(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)	(XIII)	(XIV)						
									No of Voting Rights										
(1)	Indian																		
(a)	<b>Individuals/Hindu undivided Family</b>		<b>5</b>	<b>3,695,013</b>	-	-	<b>3,695,013</b>	<b>11.36</b>	<b>3,695,013</b>	-	<b>3,695,013</b>	<b>11.36</b>	-	<b>11.36</b>	-	-	-	-	<b>3,695,013</b>
	NIRMAL KUMAR BARDIYA		-	1,791,628	-	-	1,791,628	5.51	1,791,628	-	1,791,628	5.51	-	5.51	-	-	-	-	1,791,628
	RAHIMULLAH		-	1,186,500	-	-	1,186,500	3.65	1,186,500	-	1,186,500	3.65	-	3.65	-	-	-	-	1,186,500
	DEEPTI AGRAWAL		-	529,854	-	-	529,854	1.63	529,854	-	529,854	1.63	-	1.63	-	-	-	-	529,854
	KUSUM BARDIYA		-	165,205	-	-	165,205	0.51	165,205	-	165,205	0.51	-	0.51	-	-	-	-	165,205
	SHEELA AGARWAL		-	21,826	-	-	21,826	0.07	21,826	-	21,826	0.07	-	0.07	-	-	-	-	21,826
(b)	Central Government/State Government(s)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c)	Financial Institutions/Banks		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d)	<b>Any Other (BODY CORPORATE)</b>		<b>1</b>	<b>8,564,124</b>	-	-	<b>8,564,124</b>	<b>26.32</b>	<b>8,564,124</b>	-	<b>8,564,124</b>	<b>26.32</b>	-	<b>26.32</b>	-	-	-	-	<b>8,564,124</b>
	BRETT PLASTICS PVT LTD		-	8,564,124	-	-	8,564,124	26.32	8,564,124	-	8,564,124	26.32	-	26.32	-	-	-	-	8,564,124
	<b>Sub-Total (A)(1)</b>		<b>6</b>	<b>12,259,137</b>	-	-	<b>12,259,137</b>	<b>37.68</b>	<b>12,259,137</b>	-	<b>12,259,137</b>	<b>37.68</b>	-	<b>37.68</b>	-	-	-	-	<b>12,259,137</b>
(2)	Foreign																		
(a)	<b>Individuals (Non-Resident Individuals/Foreign Individuals)</b>		<b>4</b>	<b>44,206</b>	-	-	<b>44,206</b>	<b>0.14</b>	<b>44,206</b>	-	<b>44,206</b>	<b>0.14</b>	-	<b>0.14</b>	-	-	-	-	<b>44,206</b>
	SUNIL AGRAWAL		-	28,140	-	-	28,140	0.09	28,140	-	28,140	0.09	-	0.09	-	-	-	-	28,140
	SANJEEV AGRAWAL		-	8,320	-	-	8,320	0.03	8,320	-	8,320	0.03	-	0.03	-	-	-	-	8,320
	HURSH AGRAWAL		-	7,000	-	-	7,000	0.02	7,000	-	7,000	0.02	-	0.02	-	-	-	-	7,000
	NEIL AGRAWAL		-	746	-	-	746	0.00	746	-	746	0.00	-	0.00	-	-	-	-	746
(b)	Government		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c)	Institutions		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d)	Foreign Portfolio Investor		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(e)	<b>Any Other (BODY CORPORATE)</b>		<b>1</b>	<b>9,918,640</b>	-	-	<b>9,918,640</b>	<b>30.49</b>	<b>9,918,640</b>	-	<b>9,918,640</b>	<b>30.49</b>	-	<b>30.49</b>	-	-	-	-	<b>9,918,640</b>
	SONY MIKES HOLDINGS LTD		-	9,918,640	-	-	9,918,640	30.49	9,918,640	-	9,918,640	30.49	-	30.49	-	-	-	-	9,918,640
	<b>Sub-Total (A)(2)</b>		<b>5</b>	<b>9,962,846</b>	-	-	<b>9,962,846</b>	<b>30.62</b>	<b>9,962,846</b>	-	<b>9,962,846</b>	<b>30.62</b>	-	<b>30.62</b>	-	-	-	-	<b>9,962,846</b>
	<b>Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)</b>		<b>11</b>	<b>22,221,983</b>	-	-	<b>22,221,983</b>	<b>68.30</b>	<b>22,221,983</b>	-	<b>22,221,983</b>	<b>68.30</b>	-	<b>68.30</b>	-	-	-	-	<b>22,221,983</b>

Detail of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

**Note:**

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011

**Table III - Statement showing shareholding pattern of the Public shareholder**

	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held	Shareholding as a % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form		
									(IX)					(X)	(XI)	(XII)			(XIII)	
									Class X	Class Y	Total					No. (a)	As a % of total Shares held (b)		No. (a)	As a % of total Shares held (b)
(1)	Institutions																			
(a)	Mutual Funds		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(b)	Venture Capital Funds		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(c)	Alternate Investment Funds		1	81,182	-	-	81,182	0.25	81,182	-	81,182	0.25	-	0.25	-	-	-	-	81,182	
(d)	Foreign Venture Capital Investors		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(e)	Foreign Portfolio Investors		9	2,186,577	-	-	2,186,577	6.72	2,186,577	-	2,186,577	6.72	-	6.72	-	-	-	-	2,186,577	
	MALABAR INDIA FUND LIMITED			865,334	-	-	865,334	2.66	865,334	-	865,334	2.66	-	2.66	-	-	-	-	865,334	
	MATTHEWS INDIA FUND			497,141	-	-	497,141	1.53	497,141	-	497,141	1.53	-	1.53	-	-	-	-	497,141	
(f)	Financial Institutions/Banks		1	40	-	-	40	0.00	40	-	40	0.00	-	0.00	-	-	-	-	40	
(g)	Insurance Companies		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(h)	Provident Funds/Pension Funds		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(i)	Any Other																			
	<b>FOREIGN INSTITUTIONAL INVESTOR</b>		<b>3</b>	<b>4,112,148</b>	<b>-</b>	<b>-</b>	<b>4,112,148</b>	<b>12.64</b>	<b>4,112,148</b>	<b>-</b>	<b>4,112,148</b>	<b>12.64</b>	<b>-</b>	<b>12.64</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>4,112,148</b>	
	NALANDA INDIA FUND LIMITED			4,110,600	-	-	4,110,600	12.63	4,110,600	-	4,110,600	12.63	-	12.63	-	-	-	-	4,110,600	
	<b>Sub Total (B)(1)</b>		<b>14</b>	<b>6,379,947</b>	<b>-</b>	<b>-</b>	<b>6,379,947</b>	<b>19.61</b>	<b>6,379,947</b>	<b>-</b>	<b>6,379,947</b>	<b>19.61</b>	<b>-</b>	<b>19.61</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>6,379,947</b>	
(2)	Central Government/State Government(s)/President of India		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
	<b>Sub Total (B)(2)</b>		<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	
(3)	Non-Institutions																			
(a)	i. Individual shareholders holding nominal share capital up to Rs.2 lakhs		4,235	930,192	-	-	930,192	2.86	930,192	-	930,192	2.86	-	2.86	-	-	-	-	901,035	
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 Lakhs		21	1,586,124	-	-	1,586,124	4.87	1,586,124	-	1,586,124	4.87	-	4.87	-	-	-	-	1,586,124	
(b)	NBFCs Registered with RBI		3	18,065	-	-	18,065	0.06	18,065	-	18,065	0.06	-	0.06	-	-	-	-	18,065	
(c)	Employee Trusts		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(d)	Overseas Depositories (Holding DRs)(Balancing figure)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
(e)	Any Other		323	1,387,680	-	-	1,387,680	4.27	1,387,680	-	1,387,680	4.27	-	4.27	-	-	-	-	1,384,380	
	<b>BODIES CORPORATES</b>		<b>167</b>	<b>643,423</b>	<b>-</b>	<b>-</b>	<b>643,423</b>	<b>1.98</b>	<b>643,423</b>	<b>-</b>	<b>643,423</b>	<b>1.98</b>	<b>-</b>	<b>1.98</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>642,823</b>	
	<b>NON RESIDENT INDIANS</b>		<b>135</b>	<b>741,808</b>	<b>-</b>	<b>-</b>	<b>741,808</b>	<b>2.28</b>	<b>741,808</b>	<b>-</b>	<b>741,808</b>	<b>2.28</b>	<b>-</b>	<b>2.28</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>739,108</b>	
	PANYAEK JAINKUMANE		-	421,137	-	-	421,137	1.29	421,137	-	421,137	1.29	-	1.29	-	-	-	-	421,137	
	<b>CLEARING MEMBERS</b>		<b>21</b>	<b>2,449</b>	<b>-</b>	<b>-</b>	<b>2,449</b>	<b>0.01</b>	<b>2,449</b>	<b>-</b>	<b>2,449</b>	<b>0.01</b>	<b>-</b>	<b>0.01</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>2,449</b>	
	<b>Sub Total (B)(3)</b>		<b>4,582</b>	<b>3,922,061</b>	<b>-</b>	<b>-</b>	<b>3,922,061</b>	<b>12.05</b>	<b>3,922,061</b>	<b>-</b>	<b>3,922,061</b>	<b>12.05</b>	<b>-</b>	<b>12.05</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>3,889,604</b>	
	<b>Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)</b>		<b>4,596</b>	<b>10,302,008</b>	<b>-</b>	<b>-</b>	<b>10,302,008</b>	<b>31.66</b>	<b>10,302,008</b>	<b>-</b>	<b>10,302,008</b>	<b>31.66</b>	<b>-</b>	<b>31.66</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>10,269,551</b>	

Details of shareholders acting as persons in Concert including their shareholding (No. and %)

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons:

Institutions/Non Institutions holding more than 1% of total number of shares.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

**Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder**

(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV+V+VI)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)		(XIV)	
																		Number of Voting Rights held in each class of securities
								No of Voting Rights					Total as a % of (A+B+C)	No.	As a % of total Shares held	No.		As a % of total Shares held
Class X	Class Y	Total																
(1)	Custodian/DR Holder	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(2)	Employee Benefit Trust (under SEBI(Share based Employee Benefit) Regulations 2014)	1	12,094	-	-	12,094	0.04	12,094	-	12,094	0.04	-	0.04	-	-	-	-	12,074
	<b>Total Non-Promoter-Non Public Shareholding (C) = (C)(1)+(C)(2)</b>	<b>1</b>	<b>12,094</b>	<b>-</b>	<b>-</b>	<b>12,094</b>	<b>0.04</b>	<b>12,094</b>	<b>-</b>	<b>12,094</b>	<b>0.04</b>	<b>-</b>	<b>0.04</b>	<b>-</b>	<b>-</b>			<b>12,074</b>

**Note:**  
(1) PAN would not be displayed on website of Stock Exchange(s)  
(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares  
(3) W.r.t the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available