



Vaibhav Global Limited

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Format of Holding of Specified securities

1. Name of Listed Entity: **VAIBHAV GLOBAL LIMITED**
2. Scrip Code/Name of Scrip/Class of Security: **532156, VAIBHAVGBL, EQUITY SHARES**
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg.31(1)(b)/Reg.31(1)(c)
 - a. if under 31(1)(b) then indicate the report for quarter ending **30th September, 2019**
 - b. if under 31(1)(c) then indicate date of allotment/extinguishment
4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:

	Particulars	YES*	NO*
a	Whether the Listed Entity has issued any partly paid up shares?		No
b	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
c	Whether the Listed Entity has any shares against which depository receipts are issued?		No
d	Whether the Listed Entity has any shares in locked-in?		No
e	Whether any shares held by promoters are pledge or otherwise encumbered?		No
f	Whether the Listed Entity has any Significant Beneficial Owner?	YES	

*if the Listed Entity selects the option 'NO' for the questions above, the columns for the partly paid up shares, Outstanding Convertible

- 5 The tabular format for disclosure of holding of specified securities is as follows:

Table I - Summary Statement holding of specified securities

Category	Category of Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held	Shareholding as a % of total no of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								Class X	Class Y	Total			Total as a % of (A+B+C)	No. (a)	As a % of total	No. (a)		As a % of total
(A)	Promoter & Promoter Group	10	18,740,060	-	-	18,740,060	57.28	18,740,060	-	18,740,060	57.28	-	57.28	-	-	-	-	18,740,060
(B)	Public	5,986	13,890,695	-	-	13,890,695	42.46	13,890,695	-	13,890,695	42.46	-	42.46	-	-	-	-	13,866,738
(C)	Non Promoter-Non Public	1	83,632	-	-	83,632		83,632	-	83,632	-	-	-	-	-	-	-	83,632
(C1)	Shares underlying DRs	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(C2)	Shares held by Employees Trusts	1	83,632	-	-	83,632	0.26	83,632	-	83,632	0.26	-	0.26	-	-	-	-	83,632
																		-
	Total:	5,997	32,714,387	-	-	32,714,387	100.00	32,714,387	-	32,714,387	100.00	-	100.00	-	-	-	-	32,690,430

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held	Shareholding as a % (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								Class X	Class Y	Total	Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held		
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV+V+VI)	(VIII)	(IX)				(X)	(XI)	(XII)		(XIII)		(XIV)	
(1) Indian								No of Voting Rights											
(a) Individuals/Hindu undivided Family		5	615,370	-	-	615,370	1.88	615,370	-	615,370	1.88	-	1.88	-	-	-	-	-	615,370
NIRMAL KUMAR BARDIYA		-	200,581	-	-	200,581	0.61	200,581	-	200,581	0.61	-	0.61	-	-	-	-	-	200,581
RAHI MULLAH		-	100,000	-	-	100,000	0.31	100,000	-	100,000	0.31	-	0.31	-	-	-	-	-	100,000
DEEPTI AGRAWAL		-	127,134	-	-	127,134	0.39	127,134	-	127,134	0.39	-	0.39	-	-	-	-	-	127,134
KUSUM BARDIYA		-	165,205	-	-	165,205	0.50	165,205	-	165,205	0.50	-	0.50	-	-	-	-	-	165,205
SHEELA AGARWAL		-	22,450	-	-	22,450	0.07	22,450	-	22,450	0.07	-	0.07	-	-	-	-	-	22,450
(b) Central Government/State Government(s)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c) Financial Institutions/Banks		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d) Any Other (BODY CORPORATE)		1	18,077,764	-	-	18,077,764	55.26	18,077,764	-	18,077,764	55.26	-	55.26	-	-	-	-	-	18,077,764
BRETT ENTERPRISES PRIVATE LIMITED		-	18,077,764	-	-	18,077,764	55.26	18,077,764	-	18,077,764	55.26	-	55.26	-	-	-	-	-	18,077,764
Sub-Total (A)(1)		6	18,693,134	-	-	18,693,134	57.14	18,693,134	-	18,693,134	57.14	-	57.14	-	-	-	-	-	18,693,134
(2) Foreign																			
(a) Individuals (Non-Resident Individuals/Foreign Individuals)		4	46,926	-	-	46,926	0.14	46,926	-	46,926	0.14	-	0.14	-	-	-	-	-	46,926
SUNIL AGRAWAL		-	28,140	-	-	28,140	0.09	28,140	-	28,140	0.09	-	0.09	-	-	-	-	-	28,140
HURSH AGRAWAL		-	10,000	-	-	10,000	0.03	10,000	-	10,000	0.03	-	0.03	-	-	-	-	-	10,000
SANJEEV AGRAWAL		-	8,320	-	-	8,320	0.03	8,320	-	8,320	0.03	-	0.03	-	-	-	-	-	8,320
NEIL AGRAWAL		-	466	-	-	466	0.00	466	-	466	0.00	-	0.00	-	-	-	-	-	466
(b) Government		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c) Institutions		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d) Foreign Portfolio Investor		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Sub-Total (A)(2)		4	46,926	-	-	46,926	0.14	46,926	-	46,926	0.14	-	0.14	-	-	-	-	-	46,926
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		10	18,740,060	-	-	18,740,060	57.28	18,740,060	-	18,740,060	57.28	-	57.28	-	-	-	-	-	18,740,060

Detail of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held	Shareholding as a % calculated as per SCRR,1957 As a % of (A+B+C 2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares	Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								Class X	Class Y	Total				No. (a)	As a % of total Shares held (b)		
																	No. (a)
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV+V+VI)	(VIII)	(IX)			(X)	(XI)	(XII)	(XIII)	(XIV)		
(1) Institutions																	
(a) Mutual Funds		1	2,300,401	-	-	2,300,401	7.03	2,300,401	-	2,300,401	7.03	-	7.03	-	-	-	2,300,401
MOTILAL OSWAL NIFTY SMALLCAP 250 INDEX FUND		-	2,300,401	-	-	2,300,401	7.03	2,300,401	-	2,300,401	7.03	-	-	-	-	-	-
(b) Venture Capital Funds		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c) Alternative Investment Funds		1	398,060	-	-	398,060	1.22	398,060	-	398,060	1.22	-	1.22	-	-	-	398,060
MALABAR VALUE FUND		-	398,060	-	-	398,060	1.22	398,060	-	398,060	1.22	-	-	-	-	-	398,060
(d) Foreign Venture Capital Investors		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(e) Foreign Portfolio Investors		45	4,032,101	-	-	4,032,101	12.33	4,032,101	-	4,032,101	12.33	-	12.33	-	-	-	4,032,101
MALABAR INDIA FUND LIMITED		-	2,286,613	-	-	2,286,613	6.99	2,286,613	-	2,286,613	6.99	-	6.99	-	-	-	2,286,613
TAIYO GREATER INDIA FUND LTD		-	760,981	-	-	760,981	2.33	760,981	-	760,981	2.33	-	2.33	-	-	-	760,981
(f) Financial Institutions/Banks		3	2,045	-	-	2,045	0.01	2,045	-	2,045	0.01	-	0.01	-	-	-	2,045
(g) Insurance Companies		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(h) Provident Funds/Pension Funds		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(i) Any Other (FOREIGN INSTITUTIONAL INVESTOR)		1	3,359,713	-	-	3,359,713	10.27	3,359,713	-	3,359,713	10.27	-	10.27	-	-	-	3,359,713
NALANDA INDIA FUND LIMITED		-	3,359,713	-	-	3,359,713	10.27	3,359,713	-	3,359,713	10.27	-	10.27	-	-	-	3,359,713
Sub Total (B)(1)		51	10,092,320	-	-	10,092,320	30.85	10,092,320	-	10,092,320	30.85	-	30.85	-	-	-	10,092,320
(2) Central Government/State Government(s)/President of India		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Sub Total (B)(2)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(3) Non-Institutions																	
(a) i. Individual shareholders holding nominal share capital up to Rs.2 lakhs		5,536	1,140,933	-	-	1,140,933	3.49	1,140,933	-	1,140,933	3.49	-	3.49	-	-	-	1,120,176
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 Lakhs		24	2,127,805	-	-	2,127,805	6.50	2,127,805	-	2,127,805	6.50	-	6.51	-	-	-	2,127,805
ASHISH KACHOLIA		-	485,182	-	-	485,182	1.48	485,182	-	485,182	1.48	-	1.49	-	-	-	485,182
VIJAY KISHANLAL KEDIA		-	700,000	-	-	700,000	2.14	700,000	-	700,000	2.14	-	2.14	-	-	-	700,000
(b) NBFCs Registered with RBI		1	1,000	-	-	1,000	0.00	1,000	-	1,000	0.00	-	0.00	-	-	-	1,000
(c) Employee Trusts		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d) Overseas Depositories (Holding DRs)(Balancing figure)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(e) Any Other		374	528,637	-	-	528,637	1.62	520,849	-	528,637	1.62	-	1.62	-	-	-	525,437
(i) NON RESIDENT INDIANS		228	140,101	-	-	140,101	0.43	140,101	-	140,101	0.43	-	0.43	-	-	-	137,401
(ii) CLEARING MEMBERS		18	27,091	-	-	27,091	0.08	27,091	-	27,091	0.08	-	0.08	-	-	-	27,091
(ii) BODIES CORPORATES		125	353,657	-	-	353,657	1.08	353,657	-	353,657	1.08	-	1.08	-	-	-	353,157
(iv) Trust		3	7,788	-	-	7,788	0.02	7,788	-	7,788	0.02	-	0.02	-	-	-	7,788
Sub Total (B)(3)		5,935	3,798,375	-	-	3,798,375	11.61	3,790,587	-	3,798,375	11.61	-	11.61	-	-	-	3,774,418
Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)		5,986	13,890,695	-	-	13,890,695	42.46	13,882,907	-	13,890,695	42.46	-	42.46	-	-	-	13,866,738

Details of shareholders acting as persons in Concert including their shareholding (No. and %)

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons:
Institutions/Non Institutions holding more than 1% of total number of shares.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder

(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV+V+VI)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)		(XIV)	
																		Number of Voting Rights held in each class of securities
								Class X	Class Y	Total			Total as a % of (A+B+C)	No.	As a % of total Shares held	No.		As a % of total Shares held
(1)	Custodian/DR Holder	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(2)	Employee Benefit Trust (under SEBI(Share based Employee Benefit) Regulations 2014)	1	83,632	-	-	83,632	0.26	83,632	-	83,632	0.26	-	0.26	-	-	-	-	83,632
	Total Non-Promoter-Non Public Shareholding (C) = (C)(1)+(C)(2)	1	83,632	-	-	83,632	0.26	83,632	-	83,632	0.26	-	0.26	-	-			83,632